

20427 U.S. PTO
030104

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PTO/SB/05 (03/01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket Number KLYC-01056USE	
		Inventor James F. Zucherman et al.	
		Title Method for Lateral Implantation of Spinous Process Spacer	
<small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Express Mail Label No. EV 386 447 241 US	

APPLICATION ELEMENTS See MPEP Chapter 600 concerning Utility Patent Application Contents	ADDRESS TO: Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing) 2. <input checked="" type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27) 3. <input checked="" type="checkbox"/> Specification Total Pages <u>55</u> (preferred arrangement set forth below) <input type="checkbox"/> Descriptive Title of the invention <input type="checkbox"/> Cross Reference to Related Applications <input type="checkbox"/> Statement Regarding Fed Sponsored R & D <input type="checkbox"/> Reference to Sequence Listing, a table or computer program listing Appendix <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) (if filed) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim(s) <u>47</u> <input type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) Total Sheets <u>65</u> 5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <u>8</u> a. <input type="checkbox"/> Newly Executed b. <input checked="" type="checkbox"/> Copies from prior applications 08/958,281; 09/175,645; 08/778,093 (37 CFR 1.63(d)) i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Total Pages <u>2</u> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 Total Pages <u>4</u>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) Total Pages _____ 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement IDS ((IDS)/PTO-1449) Total Pages _____ Citations _____ 13. <input type="checkbox"/> Preliminary Amendment Total Pages _____ 14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other
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18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 842,756

Prior application information: Examiner: David O. Reip Group/Art Unit: 3731

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 23910 or ☐ Correspondence Address below

NAME	Fliesler Meyer LLP		
ADDRESS	Four Embarcadero Center, Fourth Floor		
CITY	San Francisco	STATE	CA
		ZIP CODE	94111
COUNTRY	USA	TELEPHONE	415/362-3800
		FAX	415/362-2928
Name (Print/Type)	Sheldon R. Meyer		Registration No. (Attorney/Agent)
			27,660
Signature			Date
			March 1, 2004

15864 U.S. PTO

10/790651



030104

2003

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) =

(\$ 843.00)

Complete if Known

Application Number	Divisional of 09/842,756
Filing Date	March 1, 2004
Inventor	James F. Zucherman et al.
Group Art Unit	3732
Examiner Name	David O. Reip
Attorney Docket Number	KLYC-01056USE

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☒ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325
 Deposit Account Name: Fliesler Meyer LLP

2. ☒ Payment Enclosed:
☒ Check ☐ Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$770	2001/\$385	Utility Filing	385
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 385)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$86	2201/\$43	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$86	2204/\$43	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month [†]	
1252/\$420	2252/\$210	Extension for response within second month [†]	
1253/\$950	2253/\$475	Extension for response within third month [†]	
1254/\$1,480	2254/\$740	Extension for response within fourth month [†]	
1255/\$1,970	2255/\$985	Extension for response within fifth month [†]	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$770	2801/\$385	Request for Continued Examination (RCE)	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		
TOTAL	47	minus*	20 or	=	27	x	9	243
INDEP	8	minus*	3 or	=	5	x	43	215
[] First presentation of multiple dependent claim								0

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 458)

SUBMITTED BY

Typed or Printed Name Sheldon R. Meyer

Complete (if applicable)

Reg. Number 27,660

Signature

Date

March 1, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: James F. Zucherman et al.

Appl. No.: Division of 09/842,756

Filed: March 1, 2004

Title: Method for Lateral Implantation of Spinous
Process Spacer

PATENT APPLICATION

Art Unit: 3731

Examiner: David O.Reip

Customer No. 23910

**STATEMENT REQUESTING DELETION OF
INVENTOR(S) UNDER 37 CFR §1.63(d)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The present above-captioned application is a divisional application. Copies of executed oaths or declarations filed in prior applications, for which priority is claimed, are enclosed herewith, as allowed under 37 CFR §1.63(d)(1). Less than all the persons named as inventors in the oaths or declarations filed for the prior applications should be named in the present application.

STATEMENT: In accordance with 37 CFR §1.63(d)(2), the deletion of the following name(s), which were listed on the executed oaths or declarations, is requested. This deletion is requested because these persons are not an inventor of the claimed invention of the present application:

- (1) T. Wade Fallin
- (2) Charles J. Winslow
- (3) _____
- (4) _____

In accordance with 37 CFR §1.33(a), the undersigned, making the above STATEMENT,
is:

 X A registered attorney or agent of record appointed under 37 CFR §1.34(b), or acting in a representative capacity under 37 CFR §1.34(a).

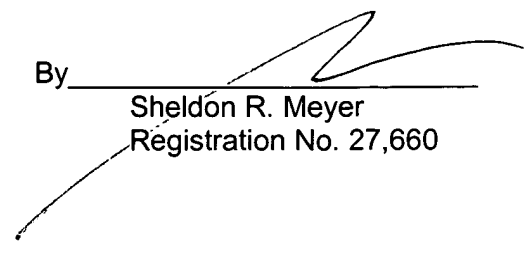
 An assignee as provided for under 37 CFR §3.71(b).

No fee is required.

Respectfully submitted,

Date March 1, 2004

By


Sheldon R. Meyer
Registration No. 27,660

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